

## In the United States Patent and Trademark Office

Attorney Docket Number: MORAES-SOSS-1

Application Filed: 4 August 2003

Applicants: Mark A. Moraes and James R. Soss

Appn. Title: Tracking, Recording and Organizing Changes to Data in Computer Systems

Mailed: 4 August 2003

At: San Francisco, CA

### Information Disclosure Statement

Commissioner for Patents,  
Washington, District of Columbia 22313.

Sir:

Attached is a completed Form PTO/SB/08(A&B) and copies of the pertinent parts of the references cited thereon.

Very respectfully,

Applicants:

  
Mark A. Moraes

  
James R. Soss


Enclosed: SB008A, SB008B, References

c/o: Mark A. Moraes, Applicant Pro Se  
280 Collingwood St  
San Francisco, CA 94114  
(415) 864-6403

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Date: 4 August 2003

MARK A MORAES , Applicant.

Substitute for form 1449/PTO

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*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	
Filing Date	08/04/2003
First Named Inventor	Mark A. Moraes
Art Unit	
Examiner Name	
Attorney Docket Number	MORAES-SOSS-1

Sheet	1	of	1
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**FOREIGN PATENT DOCUMENTS**

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Examiner Signature		Date Considered	
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Sheet 1 of 2 Attorney Docket Number MORAES-SOSS-1

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	H	SIMONETTI, trace.8, 19 Mar 1985, wuarchive.wustl.edu/languages/c/unix-c/kernel/syscall-trace.tar.Z	
	I	TICHY, RCS - A System for Version Control, Software - Practice & Experience, 15, 7 Jul 1985	
	J	RODRIGUEZ, A System Call Tracer for Unix, Usenix Summer 1986, Atlanta, GA	
	K	KIM, The Design and Implementation of Tripwire, Purdue CSD-TR-93-071, 29 Aug 1994	
	L	SPINELLIS, Trace: A Tool for Logging OS Call Transactions, Oper.Systems Review 28(4):56-63, Oct 1994	
	M	SUN, Solaris truss(1) manual, SunOS 5.8, 15 Jul 1998	
	N	KRANENBURG, strace manual, 2003-01-21, strace.sourceforge.net/	
	O	GARFINKEL, Traps & Pitfalls: Practical Problems in System Call Interposition,'03 stanford.edu/~talg/	
	P	IBM, Process for Real Time Trace-Driven Performance Monitors. SPI.org TDB1091.0030	
	Q	IBM, Real-Time Trace Driven Monitor for File System Performance. SPI.org TDB1091.0041	

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		Application Number	
		Filing Date	08/04/2003
		First Named Inventor	Mark A. Moraes
		Art Unit	
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	MORAES-SOSS-1

NON PATENT LITERATURE DOCUMENTS			
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	R	SYSINTERNALS, Filemon, <a href="http://www.sysinternals.com/ntw2k/source/filemon.html">www.sysinternals.com/ntw2k/source/filemon.html</a>	
	S	MSDN Change Journal Records, <a href="http://msdn.microsoft.com/library/en-us/fileio/base/change_journal_records.asp">msdn.microsoft.com/library/en-us/fileio/base/change_journal_records.asp</a>	
	T	<a href="http://msdn.microsoft.com/library/en-us/fileio/base/obtaining_directory_change_notifications.asp">msdn.microsoft.com/library/en-us/fileio/base/obtaining_directory_change_notifications.asp</a>	
	U	TAPSTONE, Features & Benefits, Technical Overview, Architecture, <a href="http://www.tapstone.com/feat.html">www.tapstone.com/feat.html</a>	
	V	RELICORE, Clarity Product Features, <a href="http://www.relicore.com/products/features.shtml">www.relicore.com/products/features.shtml</a>	
	W	CENDURA, Solution, <a href="http://www.cendura.com/solution/index.html">www.cendura.com/solution/index.html</a>	
	X	PEDESTAL, Intact Real-time Change Detection, <a href="http://www.pedestalsoftware.com/products/intact/">www.pedestalsoftware.com/products/intact/</a>	
	Y	DESMOND, Intact 3.5 Automates Building of Change Detection Baseline, eSecurity Planet, 8 Jul 2003	
	ZA	CONFIGURESOF, ECM Features, <a href="http://www.configuresoft.com/product_ecm_features.htm">www.configuresoft.com/product_ecm_features.htm</a>	
	ZB	ECORA, Auditor 3.0, <a href="http://www.ecora.com/ecora/products/auditor.asp">www.ecora.com/ecora/products/auditor.asp</a>	

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